National Identity Exchange Federation Center  
Identity Provider Organization Participation Agreement

In order to allow for the connection of multiple parties in a trust environment known as the National Identity Exchange Federation (hereinafter the “Federation”), the National Identity Exchange Federation Center (hereinafter the “NIEF Center”) at the Georgia Institute of Technology (GIT) is creating a trust environment that allows for the implementation of separately provided identities, associated with end users, and services for those users. The Georgia Tech Applied Research Corporation (hereinafter “GTARC”) will be the incorporated entity working on behalf of the NIEF Center at GIT for contracting and administrative activities.

1. **Preamble**

This Identity Provider Organization Participation Agreement (the “IDPO Participation Agreement”) is being entered into by GTARC on behalf of the NIEF Center at GIT and **<AGENCY NAME>**, the “Identity Provider Organization” effective **<DATE>**. The purpose of the IDPO Participation Agreement is to memorialize the intent of the NIEF Center to provide access to Federation systems to Identity Provider Organization and Identity Provider Organization end users, and for the Identity Provider Organization to create, maintain, and manage identities of their respective end users, and to operate in relation to other Members in accordance with operating policies and procedures as referenced below.

In accordance with NIEF Center policy, there are three distinct types of NIEF IDPO membership, each with specific requirements and privileges. The distinct types of NIEF IDPO membership are as follows.

* FICAM Level of Assurance 3 IDPO
* FICAM Level of Assurance 2 IDPO
* Non-FICAM IDPO

Having fulfilled the prescribed requirements for membership, **<AGENCY NAME>** has been approved to join NIEF as a **<IDPO TYPE(S)>** Identity Provider Organization.

1. **Identity Provider Organization Role**

As a Member of the NIEF Center, the role of the Identity Provider Organization is to create, maintain, secure, and manage the identities of their end users and accurately assert those identities and attributes about those identities only to authorized Federation Service Provider Organizations in accordance with Federation technical documents. In accomplishing this role, the Identity Provider Organization agrees that it will adhere to its documented process for the initial vetting of its end users’ identities, for any changes, for the removal of end users, and for the ongoing management of users attributes.

At all times that the Identity Provider Organization is a party to this agreement it agrees to abide by the Federation Policies and Procedures Document. Specifically the Identity Provider Organization agrees to meet minimum security and availability standards and at a minimum shall do the following:

1. Identity Provider Organization shall provide a trust model that ensures an entity is linked to identities that have been issued, protected, and managed to provide the accuracy of asserted attributes.
2. Identity Provider Organization shall develop and provide an authentication process by which the entity provides evidence to the Identity Provider Organization, who independently verifies that the entity is who it claims to be.
3. Identity Provider Organization shall develop a process to periodically re-evaluate the status of the each authorized entity and the validity of its associated identity.
4. Identity Provider Organization shall develop a process for attribute management to ensure the timely cancellation or modification of attributes should the user’s status change.
5. Identity Provider Organization shall develop a process for auditing the vetting of user attributes, including registration activities, to ensure attributes are maintained in accordance with the process specified by that Identity Provider Organization. Auditing shall be conducted in a manner to identify any irregularities or security breaches. Auditing must be made available to the Federation upon request.
6. Identity Provider Organization shall provide a process to assist entities who have either lost or forgotten their means of authentication.
7. Identity Provider Organization shall adhere to the problem resolution process in [NIEF OPP].
8. The Identity Provider Organization shall at all times adhere to the prescribed NIEF policies that pertain to its specific NIEF IDPO membership type, whether “FICAM LOA 3”, “FICAM LOA 2”, or “Non-FICAM”, as appropriate.
9. The Identity Provider Organization shall maintain a full understanding of, and compliance with, any and all legal requirements that are incumbent upon it based on the business relationships that it maintains as a member of the NIEF Center.
10. The Identity Provider Organization shall comply with any decisions made through the governance process, in accordance with NIEF Center Bylaws.
11. **NIEF Center Role**

The NIEF Center agrees that it will provide the approved Identity Provider Organization and its end users access to the National Identity Exchange Federation systems. The NIEF Center agrees that it will abide by the Federation Policies and Procedures Document and that it will make governance decisions in accordance with the NIEF Center Bylaws.

1. **Termination**

Termination of this agreement may occur for cause or for no cause. Either party may terminate this agreement, in accordance with the Federation Policies and Procedures Document, upon the occurrence of any material default of this agreement by the other party or upon 60 days written notice to the other party.

1. **Modification of Agreement**

A modification of this agreement proposed by GTARC on behalf of the NIEF Center at GIT or by the Identity Provider Organization will not be final unless both parties have agreed to it in writing.

1. **Waiver**

A waiver of any provision of this agreement shall not be considered a permanent waiver of such provision unless agreed to in writing by the parties.

1. **Assignment**

This agreement may not be assigned, in whole or in part, by the Identity Provider Organization without the prior written consent of GTARC on behalf of the NIEF Center at GIT.

1. **Severability**

If any provision of this Agreement is vague or contradicts another provision in this agreement or any Federation Document, the remaining provisions of this Agreement nevertheless will continue in full force and effect without being impaired or invalidated in any way. The NIEF Center Director and an appropriate committee of the Advisory Board representing the Members of the NIEF Center will review the vague or contradicting provision, and will propose appropriate steps for its correction.

1. **Federation Documents**

The operation of this NIEF Center and the Federation it supports is governed by the following documents, which may be adopted or amended from time to time:

* The NIEF Center Bylaws [NIEF Bylaws]
* NIEF Center Operational Policies and Procedures [NIEF OPP]—this document details the way in which the federation policies will be carried out.
* NIEF Audit Policy [NIEF Audit]
* NIEF Privacy Policy [NIEF Privacy]
* NIEF Cryptographic Trust Model [NIEF Trust]—this document details the technical requirements for maintaining cryptographic trust among systems in the Federation.
* NIEF Certificate Policy [NIEF CP]—this document details the certificate and key management policy that all NIEF Center members must follow to ensure cryptographic trust is maintained among systems in the Federation.
* NIEF Cryptographic Trust Fabric Management Policy [NIEF CTFMP]—this document provides the procedures to be used by the NIEF Center and its participants for the assembly, maintenance, and distribution of the NIEF Cryptographic Trust Fabric document.
* NIEF Attribute Registry [NIEF Attr] and NIEF Attribute Profile [NIEF Attr Profile]—these specifications detail the metadata requirements that must be used as part of the Federation.
* NIEF Web Browser User-to-System Profile [NIEF U2S Profile] and NIEF Web Services System-to-System Profile [NIEF S2S Profile]—these documents detail the technical interfaces required to implement specific communication profiles in the Federation.

1. **Notices**

All notices, certificates, acknowledgments or other written communications required to be given under this Agreement shall be in writing and shall be deemed to have been given and properly delivered if presented in person, by telephone, e-mail, telecopy, or by mail or private courier, to Identity Provider Organization Members at such address as appears on the records of the NIEF Center and GTARC.

Notice to the NIEF Center shall be delivered as follows:

Georgia Tech Applied Research Corporation  
c/o John Wandelt, National Identity Exchange Federation Center Director  
Georgia Tech Research Institute  
ICL/IEAD 0820

75 5th Street NW

Atlanta, GA 30332-0820

The following material, which has been submitted with this agreement, is incorporated in the agreement by reference:

* Executed National Identity Exchange Federation Center Membership Agreement
* Completed Application Form and Request to Join the NIEF Center as an Identity Provider Organization Member
* Implementation Documentation Form for Identity Provider Organization Member
* Authority-to-Operate (ATO) Document
* Attribute Map for IDPO
* Completed Security Practices Checklist

In addition, the following material has been submitted with this agreement in accordance with the NIEF Center’s philosophy and policy that each Member must fully disclose all relevant local security policy information to other Members.

* Document(s) containing Member’s Local Security Policy
* Document(s) containing Member’s Local User Agreement(s)
* Document(s) containing Member’s Local User Vetting Policies and Procedures

1. **Signatures**

By signing below, the Georgia Tech Applied Research Corporation on behalf of the NIEF Center at GIT and <AGENCY NAME>, the Identity Provider Organization, each certify (1) that they have read this document, (2) that it is accurate, and (3) that they agree to abide by this agreement and all Federation documents referenced herein.

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| **<AGENCY NAME>**  By:  <Signatory Name>  <Signatory Title>  <Agency Name>  Date: \_\_\_\_\_\_\_\_\_\_\_\_ | **GEORGIA TECH APPLIED**  **RESEARCH CORPORATION**  By:  Date: \_\_\_\_\_\_\_\_\_\_\_\_ |